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(54) **SYSTEM AND METHOD FOR ADVANCED  
INTERFACES FOR VIRTUAL  
ENVIRONMENTS**

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1997.

(51) Int. Cl.<sup>7</sup> ..... **G06F 3/00**

(52) U.S. Cl. .... **345/727; 345/764; 345/700;**  
**345/848; 345/849; 704/250; 704/246; 706/55**

(58) Field of Search ..... **345/339, 349,**  
**345/326, 978, 358, 355; 704/9, 231, 246,**  
**250, 251, 255; 706/55, 59, 60**

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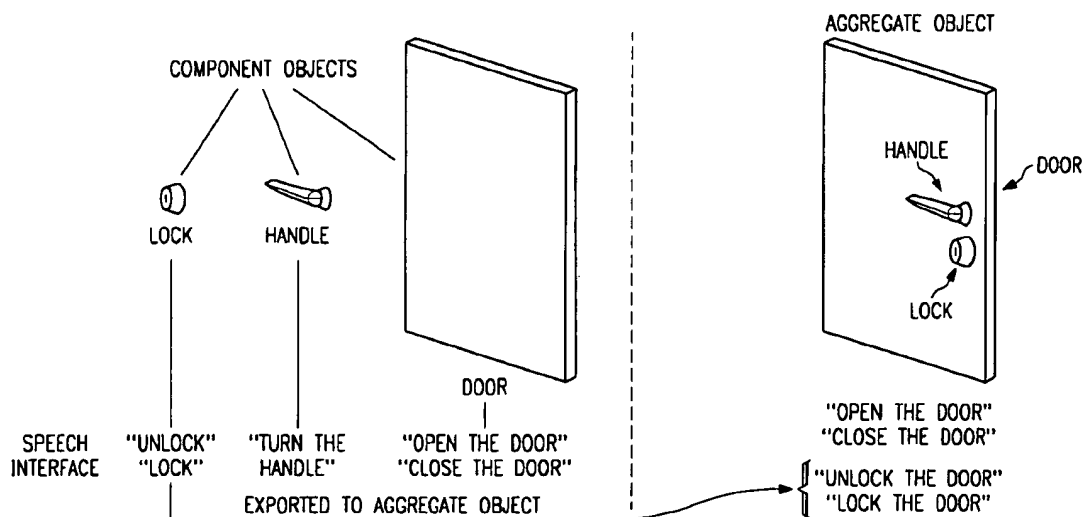
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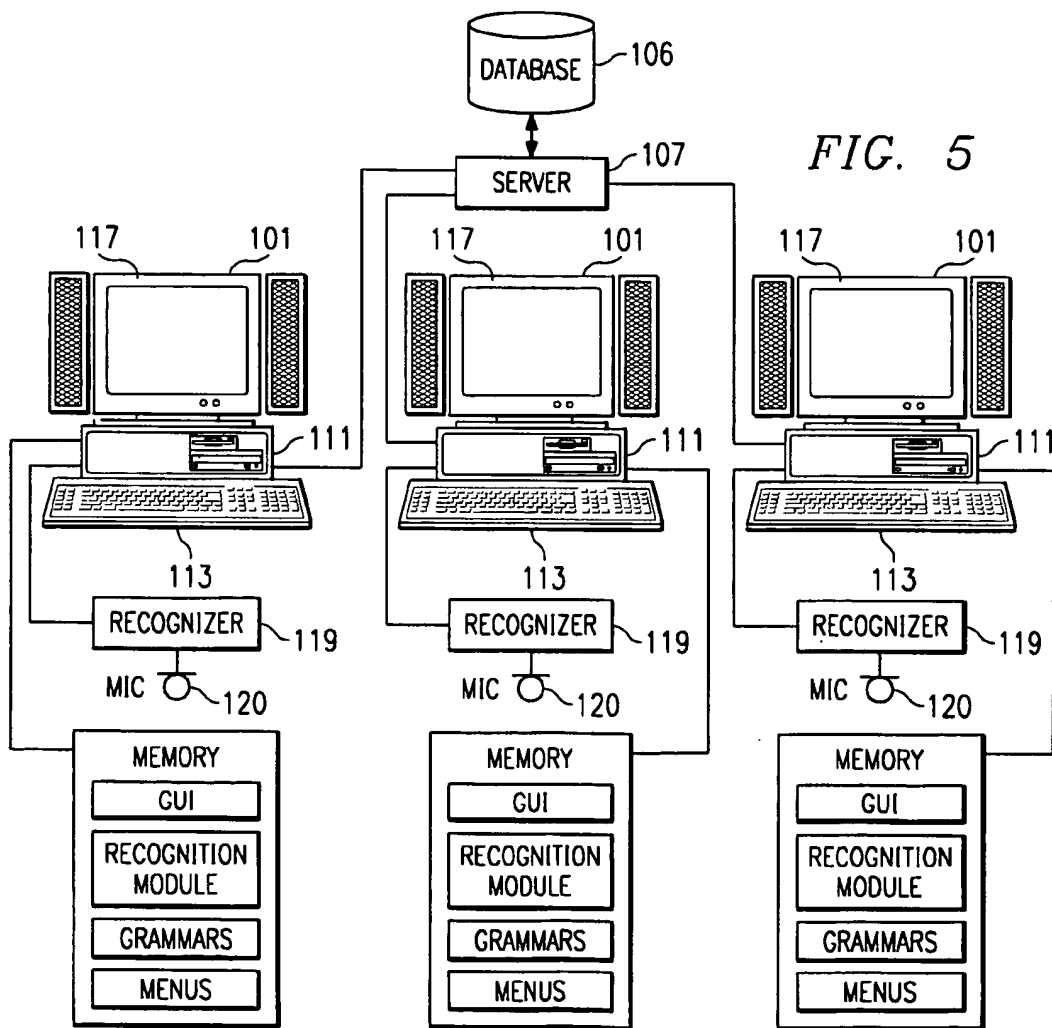
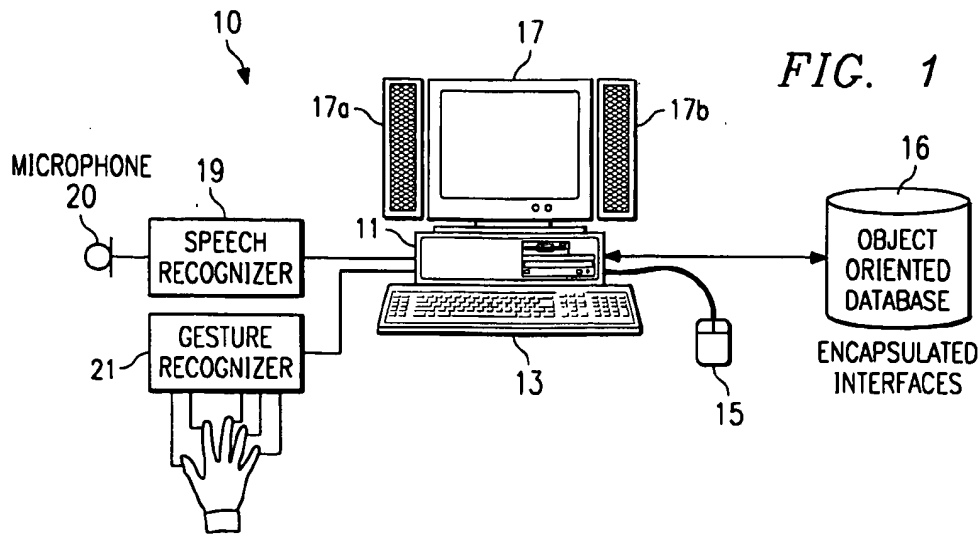
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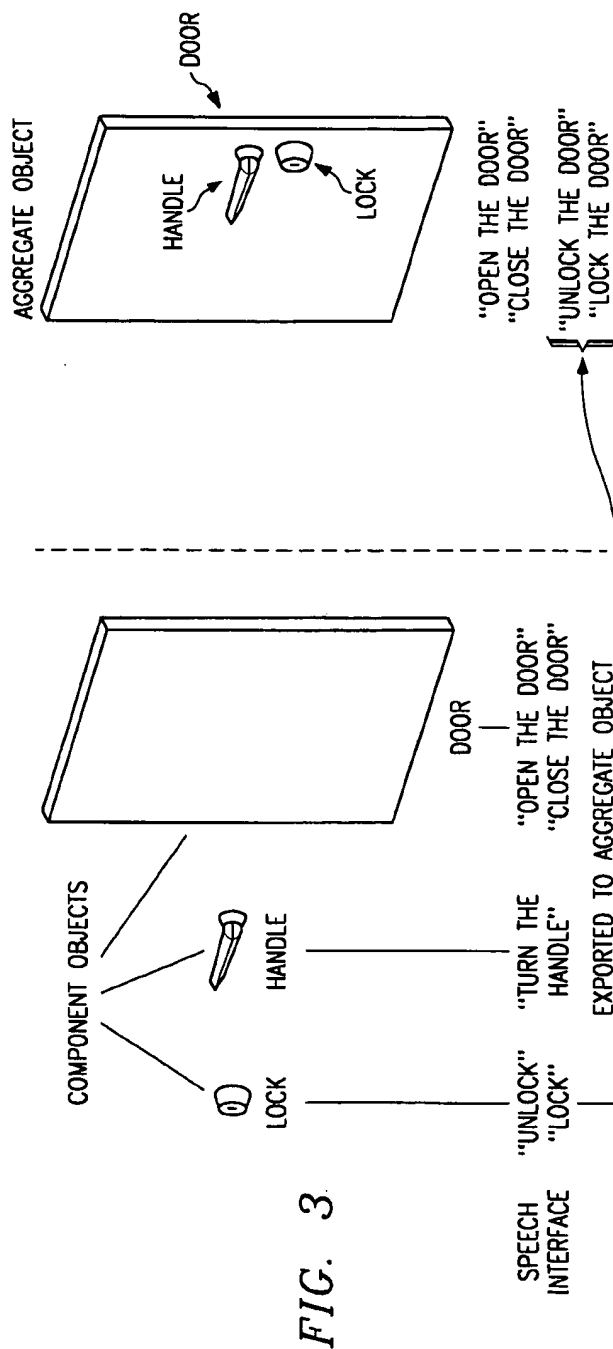
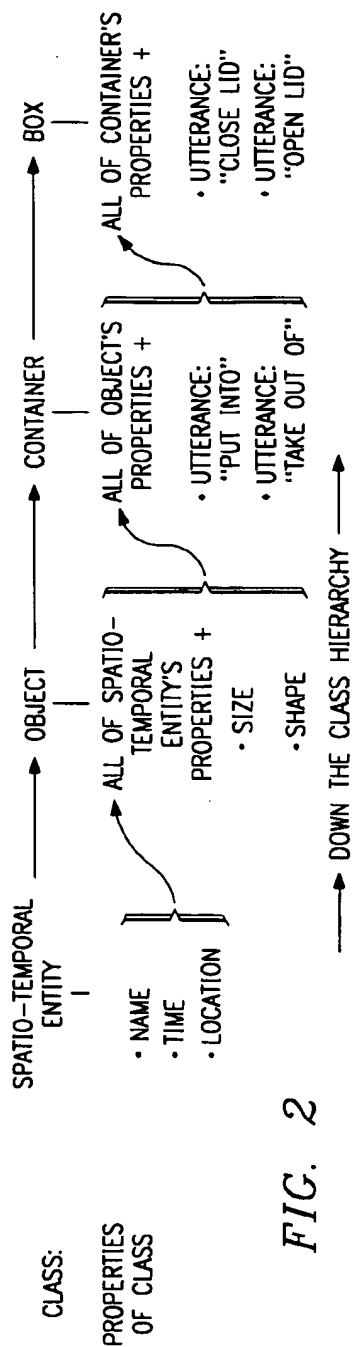
(57) **ABSTRACT**

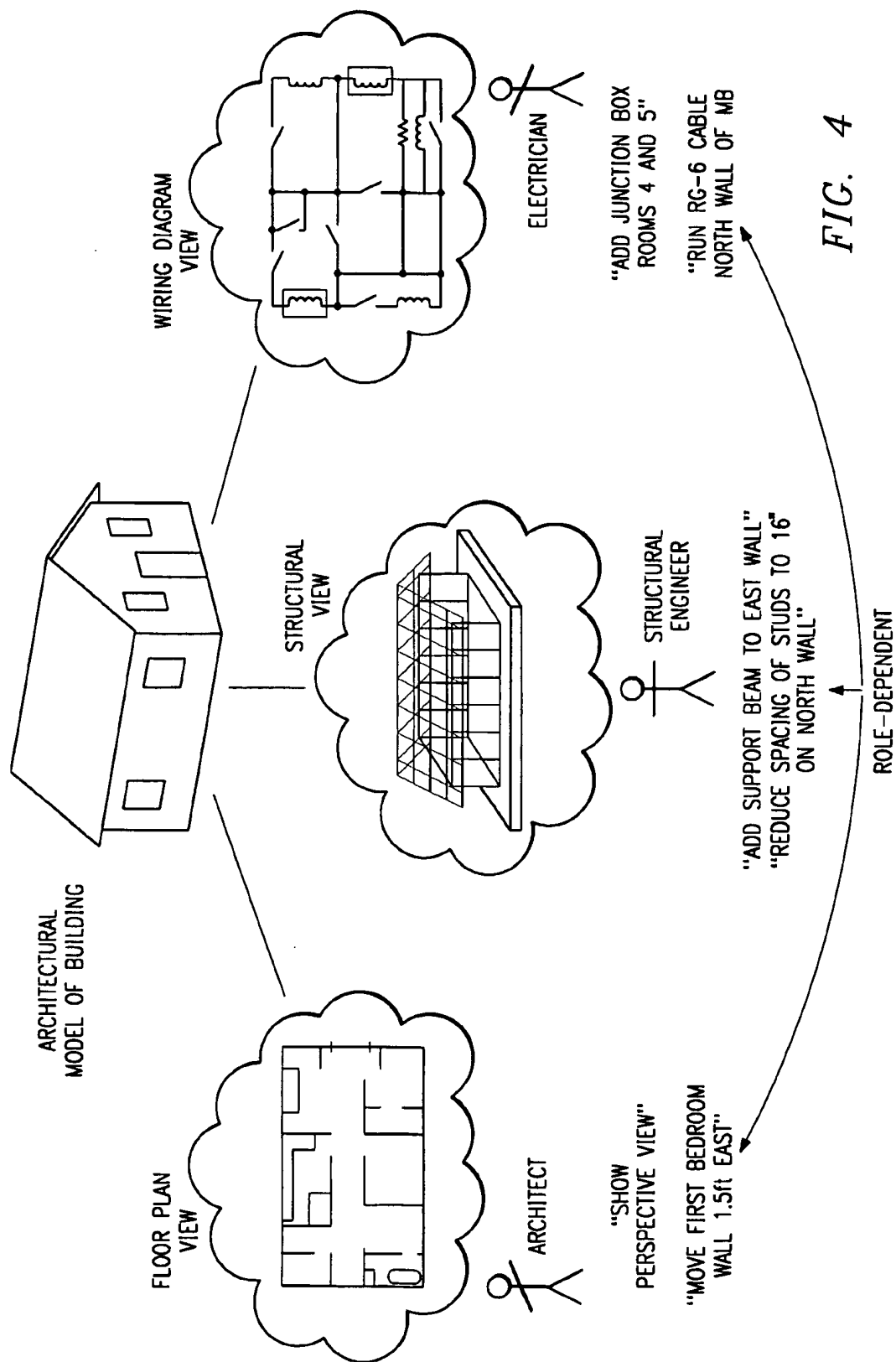
A system and method for providing a controllable virtual environment includes a computer (11) with processor and a display coupled to the processor to display 2-D or 3-D virtual environment objects. Speech grammars are stored as attributes of the virtual environment objects. Voice commands are recognized by a speech recognizer (19) and microphone (20) coupled to the processor whereby the voice commands are used to manipulate the virtual environment objects on the display. The system is further made role-dependent whereby the display of virtual environment objects and grammar is dependent on the role of the user.

**8 Claims, 3 Drawing Sheets**









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## SYSTEM AND METHOD FOR ADVANCED INTERFACES FOR VIRTUAL ENVIRONMENTS

This application claims priority under 35 USC 119(e) (1) 5  
of provisional application No. 60/068,120, filed Dec. 19,  
1997 pending.

### TECHNICAL FIELD OF THE INVENTION

This invention relates to systems that implement a three-  
dimensional virtual environment in which users can view  
and manipulate the objects within the virtual environment.

### BACKGROUND OF THE INVENTION

This invention pertains to the input interface to a virtual  
environment (VE), which allows the user to manipulate  
objects in the environment, and the output interface from the  
virtual environment, which allows the user to view objects  
in the environment.

Natural interfaces such as speech and gesture promise to  
be the best input interfaces for use in such virtual  
environments, replacing current desktop-oriented devices  
like the keyboard and mouse which are not as appropriate for  
virtual environment systems. However, little is known about  
how to integrate such advanced interfaces into virtual envi-  
ronment systems. Commercial tools exist to construct 3-D  
"worlds" using objects described with the Virtual Reality  
Modeling Language (VRML) standard. This constitutes the  
visual interface to the virtual environment. However, no  
such tools exist to augment these objects with natural input  
interfaces most appropriate to immersive visualization, such  
as speech.

Research prototypes have demonstrated the power of  
natural interfaces in virtual environments, but this work has  
not generated methods for constructing natural interfaces to  
virtual environments that are comparable in power to com-  
mon methods for building visual interfaces. For example,  
previous speech interfaces to virtual environments consist of  
specialized "speech aware" tools within the virtual envi-  
ronment (see for example Jason Leigh et al. article entitled  
"Multi-Perspective Collaborative Design in Persistent Net-  
worked Virtual Environments," in Proceedings of IEEE  
Virtual Reality Annual Symposium, VRAIS'96, pages  
253-260, Santa Clara, Calif., March 1996), and expert  
systems for mapping voice commands to actions within a  
specific domain (see for example Mark Billinghurst et al.,  
"Adding Intelligence to the Interface," Proceedings of IEEE  
Virtual Reality Annual International Symposium, pages  
168-175, Santa Clara, Calif.). In both cases, the interface is  
separate from the objects it manipulates; if the configuration  
of the objects in the virtual environment changes, the  
interface must be reconfigured or re-trained. Thus, neither is  
applicable to rapid construction of flexible virtual environ-  
ments for general use.

The purpose of this invention is to enable virtual envi-  
ronment creators to embed natural interfaces directly into  
the objects of the virtual environment. This permits rapid  
creation of virtual environments, intuitive interaction with  
virtual objects, and straightforward interface reconfigura-  
tion.

### SUMMARY OF THE INVENTION

This invention provides a method by which to construct 65  
virtual environments in which natural interfaces to the  
objects are encapsulated within the objects themselves and

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are therefore built into the environment from the beginning  
rather than added later.

The invention, according to one embodiment, concerns  
the integration of speech interfaces within virtual environ-  
ments. The invention, according to a second embodiment,  
concerns the integration of gesture interfaces within virtual  
environments. According to a third embodiment, the inven-  
tion concerns the use of role-dependent interfaces for  
manipulating and displaying information within three-  
dimensional virtual environments.

### IN THE DRAWING

FIG. 1 is a system block diagram according to one  
embodiment of the present invention:

FIG. 2 illustrates affordance inheritance;

FIG. 3 illustrates affordance aggregation;

FIG. 4 illustrates a role dependent speech interface sys-  
tem; and

FIG. 5 is a block diagram of a role dependent system.

### DESCRIPTION OF ONE PREFERRED EMBODIMENT OF THE PRESENT INVENTION

In accordance with one embodiment of the present inven-  
tion using a computer system 10 as shown in FIG. 1  
comprising a computer 11 with a processor, disk drive and  
a CD ROM. The computer system may not have sufficient  
memory as it may be connected to a separate database 16. A  
monitor 17 and speakers 17a are coupled to the computer for  
providing the images and sound. The computer system 10 in  
the example would be loaded with a browser program that  
allows it to view 2-D or 3-D objects using, for example, the  
Virtual Reality Modeling Language (VNRML). The system  
would also include a speech recognizer 19 and a microphone  
20 as primary input, and may include a keyboard 13 and a  
mouse 15 as backup inputs. The user interacts with the  
computer 11 via voice command using the microphone 20  
and speech recognizer 19. Also, a gesture recognizer 21 with  
sensors on the hands and/or head may be primary input.  
Movement of the hands, head, eyes or voice sensors com-  
mands are translated to commands to the computer 11 in the  
same way as keyboard strokes. An example of a gesture  
sensor is Lewis et al., U.S. Pat. No. 5,177,872 entitled  
"Method and Apparatus for Monitoring Physical Positioning  
of a User." This patent is incorporated herein by reference.

This method of integrating natural interfaces with virtual  
environments begins with the observation that the range of  
likely operations is not open-ended, but depends greatly  
upon the objects present in the environment. In a 2-D  
windowed desktop environment, the operations depend on  
the Graphic User Interface (GUI) widgets that are visible,  
such as scrollbars, menus, buttons, etc. These objects define  
the set of valid operations and provide cues to the user about  
how to use them. This paradigm can be extended to a 3-D  
virtual environment. When viewing a virtual environment of  
a room containing a door, the presence of the door object  
implies that the speech command to "open the door" is a  
valid operation.

Therefore, the objects present in a virtual environment  
collectively afford certain kinds of interaction with the  
environment. The term affordance comes from the field of  
cognitive psychology and refers to the usages that an object  
makes possible. In the case of the affordances of objects  
within a virtual environment (or "virtual affordances", for  
short), the information for interacting with a virtual envi-  
ronment should be provided by the objects in the environ-  
ment rather than stored within a global interface or separate  
"tool".

This method of augmenting virtual environments with natural interfaces consists of embedding objects with descriptions of valid interactions with the object. In one embodiment, a "door" object contains not only a description of its visual interface (e.g., via VRML), but also a grammar defining the voice commands "open the door", "close the door", etc. This object affords both a particular visual interface as well as a particular speech interface. In another embodiment, this object contains a description of valid gesture commands, such as pushing or pulling the door open.

This is consistent with the way visual interfaces are typically constructed. An object's visual interface is determined by information in the object itself as an attribute (its graphical model), rather than by information in a global interface or separate tool. And, just as an object's visual interface is active only when the object is in the viewer's field of view, the other interfaces to an object are only valid when the object is within the proximity of the user.

This method exploits features of object-oriented modeling to increase the power of virtual affordances. Objects are grouped into classes according to the way they function. For example, virtual objects may be categorized according to classes (or "types"), and may gain features through class inheritance. Thus a "box" is a type of "container", which is a type of "object", which is a type of "spatio-temporal entity". A spatio-temporal entity has a name, a time and a location. Each level in the inheritance path from "spatio-temporal entity" to "box" adds features to those automatically inherited from previous levels. So, as a "spatio-temporal entity", a box could afford speech utterances concerning time and location, and, as a "container", afford utterances related to putting objects "into" or "out of" itself. FIG. 2 illustrates the method of inheritance of affordances for an embodiment using speech recognition. In FIG. 2, the next lower level from spatio-temporal entity is an object that includes all of the spatio-temporal entity properties of name, time and location plus the properties of size and shape. The next lower level is a container that has all of the object's properties plus speech grammars associated with containers such as "put into" and "take out of". The next lower level is a box that has all of the container properties plus the speech grammar for a box such as "close lid" and "open lid".

Likewise, this approach uses class aggregation. For example, an object of type "door" contains objects of type "handle" and "lock". In an embodiment using speech recognition, this implies that although the door object as a whole affords a speech interface such as "open the door", the speech interfaces of its component objects (e.g., "turn the handle") are also still valid and also add other interfaces such as turn handle right or left, etc. Furthermore, specific aspects of component interfaces are "exported" to the aggregate object. Thus, a door containing a lock affords an utterance such as "unlock the door". FIG. 3 illustrates the method of aggregation of affordances. The door is a composite object.

The invention consists of object models that support virtual affordance of a variety of interaction modalities. Each interaction modality is supported through a mechanism that specifies how the particular modality is modified through inheritance, aggregation, etc. With such a mechanism, support for multiple modalities such as visual, speech and gesture are possible.

The models may be implemented in the form of a toolbox containing a set of objects for a particular domain. Creating a world with advanced interfaces a matter of populating a

virtual environment with the desired objects from the toolbox. Since the objects themselves afford the needed interfaces, creating separate mechanisms for interaction is not necessary. Thus, the toolboxes enable rapid creation of complex, dynamic virtual environments.

The manner in which objects afford their interfaces can also be parameterized according to the participants in the virtual environment. This is in agreement with the meaning of "affordance" in cognitive psychology, where each perceiver in the environment can receive different affordances from the same object, depending on the species of the perceiver. See "The Ecological Approach to Visual Perception" by James J. Gibson published by Houghton Mifflin, Boston, Mass., 1979. When in the proximity of an object, the viewer passes to the object a description of his role in the environment, such as his capabilities, interests, task, area of expertise, or level of authority. This information is used as a parameter to a function that tailors the afforded interfaces appropriately. In this manner, the method of virtual affordances supports the role-dependent visualization and manipulation needed for intelligent collaboration and visualization.

FIG. 4 shows an embodiment of the invention where speech interfaces are supported. Here, different participants in a collaborative virtual environment are viewing an architectural model of a building. The visual and speech interfaces afforded by the model are dependent on the roles of the participants. For example, when the electrician is viewing the architectural model, only the grammars pertaining to his vocabulary of interest (e.g., "add a junction box in room 4", "run RG-6 cable down east wall") are active in the speech recognizer. When the structural engineer or the architect is interacting with the model, the grammars pertaining to his vocabulary are loaded into the recognizer instead. For example, the structural engineer might recite "add support beam to east wall" or "reduce spacing of studs to 16 on north wall". The architect view might be as shown and his grammars might recognize for the architect "show perspective view" or "move first bedroom wall 1.5 feet back".

Further, when the participants are viewing a particular room of the building only the objects in that room are active; that is, the participants can interact with the objects that are in close proximity to them. As they move from room to room, the set of active virtual objects changes accordingly.

The role-dependent grammars result in higher recognition accuracy than could be obtained with larger, more general grammars. Since the recognizer is speaker-independent, there is no need for retraining on previously unknown speakers. Since the recognition is constrained by grammars and uses phonetic models, it can be made vocabulary-independent as well, and does not require retraining for new vocabularies. This enables participants in this collaborative virtual environment to use a speech interface that is customized to their needs. Further, the role-dependent visual interfaces make it easier for the participants to concentrate on just their area of expertise and ignore other details extraneous to their role in the environment. This is also useful in a military environment whereby role, rank or security can be the restrictions.

FIG. 5 is a block diagram of a virtual reality system for the arrangement of FIG. 4. There is a separate computer 101 with a processor 111 and display monitor 117 for the architect, structural engineer and electrician. They may be at different geographic locations all interacting with the same database 106 sending and receiving messages under a given protocol. Each computer 101 also includes a microphone

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120 and a speech recognizer (Rec) 119 and local memory for generating the display and storing grammars. Based on his or her role, each user sees a certain set of virtual objects and speech grammars associated with them. The user interacts with his or her set of virtual objects using a browser such as VRML browser. The communication between computer 101 and the database 106 can be provided by the Internet. These virtual environment models can be implemented in an object-oriented programming language (such a Java, C++, or Smalltalk) such that the semantics of inheritance and aggregation operations for a particular interface modality may be explicitly defined. These virtual environment models may be used to define new classes of objects for use in a collaborative virtual environment.

Suppose one wanted to define a new type of "box" object for use within a virtual environment. Assuming that definitions for "box" and "lock" objects already existed, one could combine these type definitions to create a new object type representing a lockable box. The following Java code fragment declares LockBox to be a new class of objects derived from the base class VeObject. Class VeObject provides the infrastructure to support affordance of the interfaces needed by LockBox.

```
Class LockBox extends VeObject
{
...
}
```

A LockBox object is a type of "box" that includes a "lock" object. Class LockBox declares these relationships in the static portion of its class definition:

```
class LockBox extends VeObject
{
    static {
        derived_from(Box);
        includes(Lock);
    }
}
```

The operations derived<sub>13</sub>from() and includes() are provided by Veobject. The mechanisms for inheritance and aggregation in virtual affordances can thus be implemented in a more flexible manner than would be provided through the Java language semantics alone. For example, suppose the default aggregation semantics for visual interfaces provided by VeObject would cause a Lock object to appear on top of the visual representation of a Box when creating a LockBox. If instead one wanted the lock on the front of the box, the semantics of the aggregation mechanism for visual interfaces could be redefined. In the code fragment below, LockBox overrides the function visualAggregationSemantics() provided by VeObject. When the operation includes(Lock) is performed, the function visualAggregationSemantics() in Lockbox will place the lock on the front of the Box instead.

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```
class LockBox extends VeObject
{
    static {
        derived_from(Box);
        includes(Lock);
    }
    static visualAggregationSemantics(VeObject v)
    {
        // Code to handle aggregation of objects of various types.
        ...
    }
}
```

After all the supported interfaces are defined for LockBox, an object of this type may be instantiated in a virtual environment and viewed via a particular browser:

```
{
    VirtualEnvironment ve;
    Browser b1;
    ...
    LockBox lb1 = new LockBox();
    // Instance-specific properties of lb1 may be set here.
    Ve.add(lb1);
    // Other objects may be added to the VE here.
    b1.view(ve);
}
```

The browser b1 may then obtain descriptions of all the interfaces afforded by LockBox:

```
{
    lb1.affordInterfaces(b1);
}
```

This mechanism is parameterized by the browser's type, so interfaces appropriate to the particular browser can be obtained. If the browser supports speech recognition, grammars in the format appropriate for the browser's speech recognizer will be afforded. In the case of visual interfaces, graphical object descriptions (e.g., in VRML or the Java AWT) will be afforded depending on the browser's display capabilities. Thus, the virtual environment will be accessible through both hand-held, 2-D capable devices as well as browsers with 3-D displays. The VE object models can be built in any object-oriented language (Java, C++, SmallTalk, etc.). In a preferred embodiment of the invention, Java is used because it provides the following advantages:

Java program code is machine independent, and is designed to run in an identical manner on target platforms ranging from embedded systems to high-end workstations. This facilitates access to collaborative virtual environment from client platforms with diverse capabilities.

Java includes networking support in its standard APIs. This facilitates collaborative VEs with distributed clients.

Java allows run-time class loading. This allows new VE objects to be defined and used "on the fly".

Java has emerging or standardized support for object serialization (to enable "persistent" objects), remote method invocation (for distributed object interaction), and interfacing with VRML.

Texas Instruments has developed a Java Speech API to support speech recognition in network-based Java pro-

grams. This is described in U.S. application Ser. No. 08/943,711 filed Oct. 3, 1997 of Baker et al. entitled "System and Method for Adding Speech Recognition Capabilities to Java." This application is incorporated herein by reference.

Using Java's object serialization facilities, one can assemble a number of object models into an example "toolkit" for creating collaborative virtual environments in a particular task domain. The object models contain both visual interfaces (using VRML), and speech recognition interfaces (using TI's Java Speech API). The toolkit demonstrates the mechanisms for virtual affordance and the ease of using such toolkits for construction of collaborative VE systems.

Creating a virtual world with advanced interfaces is a matter of populating a virtual environment with the desired objects from the toolkit. Since the objects themselves afford the needed interfaces, creating separate mechanisms for interaction is not necessary. Furthermore, the toolkit implementation technology (e.g., Java, VRML, and Java Speech API) is scaleable and multi-platform, allowing the VE system to run on different client hardware. Thus, the toolkits enable rapid creation of flexible collaborative virtual environments that permit access via browsers with diverse capabilities.

The speech recognizer used in this invention has the following characteristics:

- supports continuous, speaker independent, phonetic models for telephone or microphone speech,
- processes speech in real time,
- can find separate scores for multiple start hypotheses,
- can dynamically change the start hypotheses depending on context,
- can accommodate dynamic grammar addition and replacement, and
- includes an Application Program Interface (API) for embedding in applications.

These features, particularly the dynamic addition and replacement of grammars and the ability to process speech in real time, are essential for speech interfaces to be encapsulated within virtual objects. A description of the dynamic addition and replacement of grammars is found in U.S. application Ser. No. 08/419,226 filed Apr. 10, 1995 of Charles Hemphill entitled "Speaker Independent Dynamic Vocabulary and Grammar in Speech Recognition." This application is incorporated herein by reference.

What is claimed is:

1. A virtual environment system comprising:
  - a database of virtual environment objects;
  - a display for displaying appearance of virtual environment objects;
  - a processor coupled to said database and said display for displaying virtual environment objects dependent on speech input;
  - said database storing speech grammars about possible natural interactions of a user with said virtual objects as attributes of said virtual environment objects along with graphical information describing said object's appearance; and
  - a speech recognizer coupled to said processor and responsive to natural interaction speech of said user for recognizing said natural interaction speech of said user to manipulate said virtual environment objects.

2. The system of claim 1 wherein said virtual environment objects inherit speech grammar attributes from other objects through inheritance.

3. The system of claim 1 wherein said virtual environment objects inherit speech grammar attributes through aggregation.

4. A virtual environment system comprising:

- a data base of virtual environment objects, said database storing information about possible speech command grammars with said virtual environment objects attributes of said virtual objects along with graphical information describing said object's appearances;
- a display for displaying appearance of virtual environment objects;
- a processor coupled to said database and said displaying virtual environment objects dependent on speech command input; and
- a speech recognizer coupled to said processor and responsive to user's speech commands to manipulate said virtual environment objects.

5. A method for providing a controllable virtual environment comprising the steps of:

- providing a processor and a display coupled to said processor to display virtual environment objects;
- storing a set of virtual environment objects and storing information including speech grammars about possible interactions with said virtual environment objects as attributes inside said virtual environment objects along with graphical information describing the object's visual appearance;
- providing a speech recognizer and recognizing input speech of said user associated with said virtual objects and providing control signals to said processor to display said interactions; and
- coupling said processor to said set of virtual environment objects and virtual object attributes for displaying user speech interactions with said virtual environment objects.

6. The method of claim 5 including the step of storing said virtual environment objects in classes according to the function and enabling said virtual environment objects to inherit functional properties from other object classes through inheritance and aggregation.

7. The method of claim 5 wherein for voice command interaction appropriate speech grammars are attributes of the virtual environment objects.

8. A virtual environment system that enables rapid creation of complex, dynamic environments comprising:

- a mechanism for presenting speech input and a display for displaying displaying appearance of virtual environment objects;
- a database containing a toolbox of virtual environment objects that afford needed speech interfaces and that support said speech input; and
- a processor coupled to said database and said mechanism for creation of dynamic virtual environments including displaying virtual environment objects using a set of objects from said toolbox of virtual environment objects dependent on user speech input.

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